

Foundations of Computability Theory (5)

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bounded Turing reducibilities

Definition 5.1

- ① Let f be any function. A set A is *f -bounded Turing (f -bT-) reducible* to a set B if A is Turing reducible to B via a Turing functional where the use function is bounded by f , i.e., if there is a number e such that $A = \Phi_e^B$ and $\varphi_e^B(x) \leq f(x) + 1$ for all $x \geq 0$.
- ② A set A is *bounded-Turing (bT-) reducible* or *weak-truth-table (wtt-) reducible* to a set B ($A \leq_{\text{bT}} B$ or $A \leq_{\text{wtt}} B$) if A is f -bT-reducible to B for some computable function f .
- ③ A set A is *identity-bounded-Turing (ibT-) reducible* to a set B ($A \leq_{\text{ibT}} B$) if A is f -bT-reducible to B for the identity function $f(x) = x$.
- ④ A set A is *$(i+k)$ -bT-reducible* to a set B ($A \leq_{(i+k)\text{bT}} B$) if A is f -bT-reducible to B for $f(x) = x + k$.
- ⑤ A set A is *computable Lipschitz (cl-) reducible* to a set B ($A \leq_{\text{cl}} B$) if A is $(i+k)$ -bT-reducible to B for some $k \geq 0$.

Clearly, for any sets $A, B \subseteq \mathbb{N}$, and for any $k \geq 0$,

$$A \leq_{\text{ibT}} B \quad \Rightarrow \quad A \leq_{(i+k)\text{bT}} B \quad \Rightarrow \quad A \leq_{(i+k+1)\text{bT}} B \quad \Rightarrow \quad A \leq_{\text{cl}} B \quad \Rightarrow \quad A \leq_{\text{wtt}} B.$$

bounded Turing reducibilities

Theorem 5.2

Let A be a noncomputable set.

- (i) For $A + 1 = \{x + 1 : x \in A\}$, $A + 1 \leq_{\text{ibT}} A$ and $A + 1 \neq_{(\text{i}+1)\text{bT}} A$.
- (ii) For $2A = \{2x : x \in A\}$, $2A \leq_{\text{cl}} A$ and $2A \neq_{\text{wtt}} A$.

(Note that, for c.e. A , the sets $A + 1$ and $2A$ are also c.e.)

Proof.

We give the proof of (i). The proof of (ii) is similar.

Obviously, $A + 1 \leq_{\text{ibT}} A$ and $A \leq_{(\text{i}+1)\text{bT}} A + 1$. So it suffices to show that $A \not\leq_{\text{ibT}} A + 1$. For a contradiction assume that $A \leq_{\text{ibT}} A + 1$ and fix an ibT-functional Φ such that $A = \Phi^{A+1}$. Note that to compute $A(x)$, the functional Φ may query the oracle $A + 1$ on numbers up to x . These queries can be replaced by queries to A on numbers up to $x - 1$. Thus, there is a functional $\widehat{\Phi}$ such that $A = \widehat{\Phi}^A$ and on input x all queries of $\widehat{\Phi}^A(x)$ (if any) are less than x . Such set are called *self-reducible*. It is easy to see that self-reducible sets are computable. $\square$

Corollary 5.3

For any noncomputable c.e. set A there are noncomputable c.e. sets B and $\widehat{B}$ such that

- ① $A \leq_{\text{cl}} B$ but $A \not\leq_{\text{ibT}} B$ and
- ② $A \leq_{\text{wtt}} \widehat{B}$ but $A \not\leq_{\text{cl}} \widehat{B}$.

Definition 5.4

- ① A *truth-table reduction* (tt-reduction for short) is a pair (g, h) of computable functions $g : \omega \rightarrow \omega^*$ (the selector function) and $h : \omega \times \{0, 1\}^* \rightarrow \{0, 1\}$ (the evaluation function). A set A is *tt-reducible* to a set B via (g, h) if for all numbers x

$$A(x) = h(x, B(y_0), \dots, B(y_n)) \quad \text{where } g(x) = \langle y_0, \dots, y_n \rangle.$$

And A is *tt-reducible* to B ($A \leq_{\text{tt}} B$) if A is tt-reducible to B via some tt-reduction (g, h) .

- ② Let $k \geq 1$. A truth-table reduction (g, h) is a truth-table reduction of *norm* k or a *k-tt-reduction* for short if the selection function is of type $g : \omega \rightarrow \omega^k$. A is *k-tt-reducible* to B if there is a k-tt-reduction (g, h) such that A is tt-reducible to B via (g, h) .
- ③ A truth-table reduction (g, h) is a *bounded truth-table* (btt) reduction if (g, h) is a k-tt-reducible for some $k \geq 1$. A is *btt-reducible* to B if A is k-tt-reducible to B for some $k \geq 1$.

truth-table reducibilities

Clearly, for any sets $A, B \subseteq \mathbb{N}$, and for any $k \geq 1$,

$$\begin{aligned} A \leq_1 B \quad \Rightarrow \quad A \leq_m B \quad \Rightarrow \quad A \leq_{1-\text{tt}} B \quad \Rightarrow \quad A \leq_{k-\text{tt}} B \quad \Rightarrow \\ A \leq_{(k+1)-\text{tt}} B \quad \Rightarrow \quad A \leq_{\text{btt}} B \quad \Rightarrow \quad A \leq_{\text{tt}} B \quad \Rightarrow \quad A \leq_{\text{wtt}} B. \end{aligned}$$

The failure of the converse of the second implication is witnessed by the fact that

$$\overline{K} \not\leq_m K \quad \text{and} \quad \overline{K} \leq_{1-\text{tt}} K.$$

Later, we will introduce methods to show that the converses of all other implications do not hold either in general.

characterization for tt-reducibility

Theorem 5.5

For any sets A and B , $A \leq_{\text{tt}} B$ if and only if there is a total Turing functional Ψ such that $A \leq_T B$ via Ψ , i.e., $A = \Psi^B$.

Proof.

“ $\Rightarrow$.” Fix (g, h) such that $A \leq_{\text{tt}} B$ via (g, h) . Then this reduction can be simulated by a total oracle machine M as follows. First M^X on input x first computes $g(x) = \langle y_0, \dots, y_n \rangle$ (without using oracle X), then, by querying the oracle about $y_0, \dots, y_n$, M^X produces $X(y_0), \dots, X(y_n)$, and, finally, M^X computes $h(x, X(y_0), \dots, X(y_n))$ (without using the oracle).

“ $\Leftarrow$.” Assume that $A = \Psi^B$ where the Turing functional Ψ is total. Then, for any x , the computation tree $CT_\Psi(x)$ of Ψ on input x is finite. So the depth $f(x) = \text{depth}(CT_\Psi(x))$ of $CT_\Psi(x)$ is finite and computable from x . Moreover, $f(x)$ is a bound on the length of the computations $\Psi^X(x)$ for any oracle X (hence a bound on the oracle queries in any of the computations $\Psi^X(x)$ (for $X \subseteq \omega$). So if we let

$$g(x) = \langle 0, 1, 2, \dots, f(x) \rangle \quad \text{and} \quad h(x, i_0, \dots, i_{f(x)}) = \Psi^{\{y \leq f(x) : i_y = 1\}}(x)$$

then g and h are computable and $A \leq_{\text{tt}} B$ via (g, h) . □

comparing the strong reducibilities

Theorem 5.6

For noncomputable sets A and B the following and in general (up to transitivity) only the following relations hold.

$$\begin{array}{ccc} & & A \leq_T B \\ & & \Uparrow \\ & A \leq_{tt} B & \Rightarrow A \leq_{wtt} B \\ & \Uparrow & \Uparrow \\ & A \leq_{btt} B & A \leq_{cl} B \\ & \Uparrow & \Uparrow \\ A \leq_l B & \Rightarrow A \leq_m B & \Rightarrow A \leq_{l-tt} B & A \leq_{ibT} B \end{array}$$

computably approximable sets and ω -c.e. sets

Definition 5.7

A set A is *computably approximable* (c.a.) if there is a uniformly computable sequence of sets $\{A_s\}_{s \in \omega}$ such that for all x ,

$$A(x) = \lim_s A_s(x).$$

We call $\{A_s\}_{s \in \omega}$ a *computable approximation* for A .

Definition 5.8

- ① Let f be any function. A set A is *f-c.e.* if it is computably approximable via a computable approximation $\{A_s\}_{s \in \omega}$ such that for all x ,

$$A_0(x) = 0 \quad \& \quad |\{s : A_s(x) \neq A_{s+1}(x)\}| \leq f(x).$$

- ② For $n \geq 0$, a set A is *n-c.e.* if it is *f-c.e.* for the constant function $f(x) = n$.
③ A set A is *ω -c.e.* if it is *f-c.e.* for some computable function f .

Clearly, the only 0-c.e. set is $\emptyset$, 1-c.e. sets are exactly the c.e. sets. It is proven that the following proper inclusions hold (see Odifreddi 1989).

$$\text{computable} \subset \text{c.e.} \subset 2\text{-c.e.} \subset 3\text{-c.e.} \subset \cdots \subset \omega\text{-c.e.} \subset \text{c.a.}$$

Shoenfield limit lemma

Lemma 5.9 (Shoenfield limit lemma)

A set A is c.a. if and only if $A \leq_T \emptyset'$.

Proof.

“ $\Leftarrow$ ”: Fix a Turing functional Φ_e such that $A = \Phi_e^{\emptyset'}$. Then the required computable approximation is given by $A_s = \{x < s : \Phi_e^{\emptyset'}(x)[s] = 1\}$.

“ $\Rightarrow$ ”: We define a c.e. set C such that $A \leq_T C$. This is sufficient because as a c.e. set, $C \leq_m \emptyset'$, which implies $C \leq_T \emptyset'$.

If $A_s(x) \neq A_{s+1}(x)$ we put $\langle x, i \rangle$ into C_{s+1} , where i is least such that $\langle x, i \rangle \notin C_s$.

To see that $A \leq_T C$, on input x , using the oracle C compute the least i such that $\langle x, i \rangle \notin C$. If i is even then $A(y) = A_0(y)$, otherwise $A(y) = 1 - A_0(y)$. □

The set C in the proof is called the *change set* because it records the changes of the computable approximation.

characterizations of ω -c.e. sets

Theorem 5.10

A is ω -c.e. $\Leftrightarrow A \leq_{\text{wtt}} \emptyset' \Leftrightarrow A \leq_{\text{tt}} \emptyset'$.

Proof.

“ $A \leq_{\text{wtt}} \emptyset' \Rightarrow A$ is ω -c.e.”: Suppose that $A \leq_{\text{wtt}} \emptyset'$ via a functional Φ_e with computable use bound f . To show that A is ω -c.e., as before let $A_s = \{x < s : \Phi_e^{\emptyset'}(x)[s] = 1\}$. Since $\Phi_e^{\emptyset'}(x)[s]$ only becomes undefined when a number less than $f(x)$ enters $\emptyset'$, the number of changes of $A_s(x)$ is bounded by $2f(x)$.

“ A is ω -c.e. $\Rightarrow A \leq_{\text{tt}} \emptyset'$ ”: Suppose that A is ω -c.e. via the computable approximation $\{A_s\}_{s \in \omega}$ and the computable function g bounding the number of changes. Let C be the change set as in previous proof. By definition, $\min\{i : \langle x, i \rangle \notin C\} \leq g(x)$. Then the reduction of A to C given in previous proof can be carried out by computing a truth-table from the input x and evaluating it on the answers to oracle questions to C . Hence $A \leq_{\text{tt}} C \leq_{\text{m}} \emptyset'$. □

low and high sets

For any c.a. set A , $\emptyset' \leq_T A' \leq_T \emptyset''$. We call A *low* if A has the least possible jump and *high* if A has the greatest possible jump.

Definition 5.11

A c.a. set A is *low* if $A' = \emptyset'$ and A is *high* if $A' = \emptyset''$. Similarly, a Turing degree $\mathbf{a}$ is *low* (*high*) if it contains a low (high) set.

Note that lowness and highness are degree invariant. So in a low (*high*) degree all sets are low (*high*). Moreover, (by the Jump Theorem) any computable set is low, any set Turing equivalent to $\emptyset'$ is high, and no low set is high (and vice versa). By considering iterated jumps, the lowness and highness notions can be generalized.

Definition 5.12

A c.a. set A is *low_n* if $A^{(n)} = \emptyset^{(n)}$ and A is *high_n* if $A^{(n)} = \emptyset^{(n+1)}$. Similarly, a Turing degree $\mathbf{a}$ is *low_n* (*high_n*) if it contains a low_n (high_n) set.

low and high sets

We let $\mathbf{L}_n$ ($\mathbf{H}_n$) be the class of the low_n (high_n) Turing degrees and let $\mathbf{L} = \mathbf{L}_1$ ($\mathbf{H} = \mathbf{H}_1$) be the class of the low (high) degrees. Moreover, we let $\mathbf{L}_0 = \{\mathbf{0}\}$ and $\mathbf{H}_0 = \{\mathbf{0}'\}$. Note that

$$\{\mathbf{0}\} = \mathbf{L}_0 \subseteq \mathbf{L} = \mathbf{L}_1 \subseteq \mathbf{L}_2 \subseteq \mathbf{L}_3 \subseteq \cdots, \quad (1)$$

$$\{\mathbf{0}'\} = \mathbf{H}_0 \subseteq \mathbf{H} = \mathbf{H}_1 \subseteq \mathbf{H}_2 \subseteq \mathbf{H}_3 \subseteq \cdots, \quad (2)$$

$$(3)$$

and

$$\bigcup_{n \geq 0} \mathbf{L}_n \cap \bigcup_{n \geq 0} \mathbf{H}_n = \emptyset. \quad (4)$$

In fact the low-high hierarchies are proper and there are “intermediate” c.e. degrees, i.e., c.e. degrees $\mathbf{a}$ which are neither low_n nor high_n for any $n \geq 0$.

Theorem 5.13 (Sacks’ Jump Theorem)

For any $n \geq 0$, $\mathbf{L}_n \subsetneq \mathbf{L}_{n+1}$ and $\mathbf{H}_n \subsetneq \mathbf{H}_{n+1}$. Moreover, there is a c.e. degree $\mathbf{a}$ such that $\mathbf{0}^{(n)} <_{\text{T}} \mathbf{a}^{(n)} <_{\text{T}} \mathbf{0}^{(n+1)}$ for all $n \geq 0$.