

Convergence rates of computably approximable reals

Nan Fang

Institute of Software, Chinese Academy of Sciences

Oct 2020, Beijing

Joint work with *George Barmpalias* and *Liang Yu*

left-c.e. reals and Ω

Definition

Let $\{\alpha_s\}_{s \in \omega}$ be a computable nondecreasing sequence of rationals converging to α . We say that α is a left-c.e. real and $\{\alpha_s\}_{s \in \omega}$ is a left-c.e. approximation of α .

We define right-c.e. reals and approximations similarly. A real is computable if and only if it is both left-c.e. and right-c.e.

Fix an universal prefix-free machine U , its halting probability is defined as

$$\Omega = \sum_{U(\sigma) \downarrow} 2^{-|\sigma|}.$$

Let

$$\Omega_s = \sum_{|\sigma| < s \text{ \& } U[s](\sigma) \downarrow} 2^{-|\sigma|},$$

then $\{\Omega_s\}_{s \in \omega}$ is a left-c.e. approximation of Ω . Moreover, Ω is Martin-Löf random.

d.c.e. reals

Definition

A real is d.c.e. if it is the difference of two left-c.e. reals.

Proposition (Ambos-Spies, Weihrauch, and Zheng 2000)

A real α is d.c.e. if and only if there is a computable sequence of rationals $\{\alpha_s\}_{s \in \omega}$ converging to α such that the variation $\sum_{s \in \omega} |\alpha_{s+1} - \alpha_s|$ is bounded.

Random d.c.e. reals have the following nice property.

Proposition (Rettinger and Zheng 2005)

Random d.c.e. reals are either left-c.e. reals or right c.e. reals.

a derivation of the d.c.e. reals

Fix a left-c.e. approximation $\{\Omega_s\}_{s \in \omega}$ of Ω .

Definition

For a d.c.e. real α with d.c.e. approximations $\{\alpha_s\}_{s \in \omega}$, let

$$\partial\alpha = \partial\{\alpha_s\} = \lim_{s \rightarrow \infty} \frac{\alpha - \alpha_s}{\Omega - \Omega_s}.$$

Theorem (Barnaliyas and Lewis-Pye 2017, refined by Miller 2017)

Let α be a d.c.e. real.

- ❶ $\partial\alpha$ converges and is independent of the choice of $\{\alpha_s\}_{s \in \omega}$.
- ❷ $\partial\alpha = 0$ if and only if α is not random.
- ❸ $\partial\alpha > 0$ if and only if α is random and left-c.e.
- ❹ $\partial\alpha < 0$ if and only if α is random and right-c.e.

a characterization of random d.c.e. reals

Theorem 1

Let γ be a d.c.e. real. If γ is random, then for any two d.c.e. approximations $\{a_s\}_{s \in \omega}$ and $\{b_s\}_{s \in \omega}$ of γ ,

$$\lim_{s \rightarrow \infty} \frac{\gamma - b_s}{\gamma - a_s} = 1.$$

Proof.

As γ be a d.c.e. random real, then $\partial\{a_s\} = \partial\{b_s\} = \partial\gamma \neq 0$, i.e. ,

$$\lim_{s \rightarrow \infty} \frac{\gamma - a_s}{\Omega - \Omega_s} = \lim_{s \rightarrow \infty} \frac{\gamma - b_s}{\Omega - \Omega_s} \neq 0.$$

Then

$$\lim_{s \rightarrow \infty} \frac{\gamma - b_s}{\gamma - a_s} = \lim_{s \rightarrow \infty} \frac{\frac{\gamma - b_s}{\Omega - \Omega_s}}{\frac{\gamma - a_s}{\Omega - \Omega_s}} = 1.$$



a characterization of random d.c.e. reals

Theorem 2

Let γ be a nonrandom irrational real. If γ has a computable approximation $\{r_s\}_{s \in \omega}$, then it has another computable approximation $\{a_s\}_{s \in \omega}$ such that

$$\limsup_{s \rightarrow \infty} \left| \frac{\gamma - a_s}{\gamma - r_s} \right| = +\infty. \quad (1)$$

Moreover, if $\{r_s\}_{s \in \omega}$ is d.c.e., $\{a_s\}_{s \in \omega}$ can also be made d.c.e..

Proof.

As γ is not random, let $\{U_i\}_{i \in \omega}$ be a Martin-Löf test which covers γ . We construct an approximation $\{a_s\}_{s \in \omega}$ of γ such that $\forall n \exists s \geq n \left[\left| \frac{\gamma - a_s}{\gamma - r_s} \right| \geq 2^n - 1 \right]$, as well as a series of strings $\{\sigma_i\}_{i \in \omega}$ such that $\sigma_i \in U_{2i}$ and $\sigma_i < \gamma$.

At then beginning, we let $\sigma_i \uparrow$ for all $i \in \omega$. At each stage $s \geq 0$, we find the least integer n such that $\sigma_n \uparrow$. Then check if there exists an index $j < n$ such that $\sigma_j \not\leq r_s$ and do as follows.

- ▶ If exists, let j be the least such index and let $\sigma_i \uparrow$ for all $i \geq j$. Then let $a_s = r_s$ and go to stage $s + 1$.
- ▶ Otherwise, search for the least $t \geq s$ such that there exists $\sigma \in U_{2n}$ with $\sigma < r_t$. Then let $\sigma_n = \sigma$, $a_i = r_i$ for all $s \leq i < t$, $a_t = r_t - 2^{-|\sigma|}$, and go to stage $t + 1$.

a characterization of random d.c.e. reals

Proof (Cont.)

- ① For each $n \in \omega$, σ_n is defined and is the unique prefix of γ in U_{2n} .

As $\gamma \in \llbracket U_{2n} \rrbracket$ and U_{2n} is prefix-free, there exists a unique $\sigma \in U_{2n}$ such that $\sigma < \gamma$. σ_n will eventually be defined as σ .

- ② $\{a_s\}_{s \in \omega}$ is a computable approximation of γ . If $\{r_s\}_{s \in \omega}$ is d.c.e., $\{a_s\}_{s \in \omega}$ is d.c.e. as well.

In the construction, we have $a_s = r_s$ or $a_s = r_s - 2^{n_s - |\sigma_{n_s, s}|}$. In the latter case, n_s is the least integer n such that $\sigma_n \uparrow$ at stage s . Then

$$\lim_{s \rightarrow \infty} |a_s - r_s| \leq \lim_{s \rightarrow \infty} 2^{n_s - |\sigma_{n_s, s}|} \leq \lim_{s \rightarrow \infty} 2^{n_s} \cdot 2^{-2^{n_s}} = \lim_{s \rightarrow \infty} 2^{-n_s} = 0. \text{ Thus, } \lim_{s \rightarrow \infty} a_s = \gamma.$$

Moreover, the variation of $\{a_s\}_{s \in \omega}$ is bounded by the variation of $\{r_s\}_{s \in \omega}$ plus the sum of $2 \cdot 2^{n_s - |\sigma_{n_s, s}|}$ for all stage s where σ_{n_s} is newly defined or redefined. As we only define σ_n to be some member in U_{2n} , that sum is then bounded by

$$\sum_{n \in \omega} 2 \cdot 2^n \mu(U_{2n}) \leq \sum_{n \in \omega} 2^{1-n} = 4. \text{ Thus, if } \{r_s\}_{s \in \omega} \text{ has finite variation, so does } \{a_s\}_{s \in \omega}.$$

- ③ If σ_n is lastly defined at stage s , then $\left| \frac{\gamma - a_s}{\gamma - r_s} \right| \geq 2^n - 1$.

As $\sigma_n < r_s$ and $\sigma_n < \gamma$, we have $0 < |\gamma - r_s| \leq 2^{-|\sigma_n|}$, and

$$|\gamma - a_s| = |\gamma - r_s + 2^{n - |\sigma_n|}| \geq 2^{n - |\sigma_n|} - |\gamma - r_s| \geq (2^n - 1) \cdot |\gamma - r_s|.$$

a characterization of random d.c.e. reals

Corollary 3

For a d.c.e. real γ , the following are equivalent:

- ❶ γ is random;
- ❷ for any two d.c.e. approximations $\{a_s\}_{s \in \omega}$ and $\{b_s\}_{s \in \omega}$ of γ , $\lim_{s \rightarrow \infty} \frac{\gamma - b_s}{\gamma - a_s}$ exists.
- ❸ for any two d.c.e. approximations $\{a_s\}_{s \in \omega}$ and $\{b_s\}_{s \in \omega}$ of γ , $\lim_{s \rightarrow \infty} \frac{\gamma - b_s}{\gamma - a_s} = 1$.

an analog for left-c.e. reals

Definition

Let $\{a_i\}_{i \in \omega}$ be a series of computable nondecreasing converging rationals and c be an positive interger. We say that $\{(a_i, a_i + c(a_{i+1} - a_i))\}_{i \in \omega}$ is an *approximating Solovay test*. A left-c.e. real α is *approximately random* if it passes all approximating Solovay test, i.e. , $\alpha \notin (a_i, a_i + c(a_{i+1} - a_i))$ for all but finitely many i .

We can prove the following analog theorem for left-c.e. reals.

Theorem 4

For a left-c.e. real γ , the following are equivalent:

- ❶ γ is approximately random;
- ❷ for any left-c.e. approximation $\{a_s\}_{s \in \omega}$ of γ , $\liminf_{s \rightarrow \infty} \frac{\gamma - a_{s+1}}{\gamma - a_s} = 1$;
- ❸ for any two left-c.e. approximations $\{a_s\}_{s \in \omega}$ and $\{b_s\}_{s \in \omega}$ of γ , $\lim_{s \rightarrow \infty} \frac{\gamma - b_s}{\gamma - a_s}$ exists;
- ❹ for any two left-c.e. approximations $\{a_s\}_{s \in \omega}$ and $\{b_s\}_{s \in \omega}$ of γ , $\lim_{s \rightarrow \infty} \frac{\gamma - b_s}{\gamma - a_s} = 1$.

low for approximately random

Definition

Let AR be the set of all approximately random left-c.e. reals, and for a set X , let AR^X be the set of all approximately random left-c.e. reals relative to X . X is *low for approximately random* if $AR = AR^X$.

Theorem 5

If A is HIF, then A is low for approximately random.

Theorem 6

If A is low for Ω , then A is low for Ω to be approximately random.

speedup functions for approximating Ω

Theorem 7

Let f be a strictly increasing function. If $\limsup_{s \rightarrow \infty} \frac{\Omega - \Omega_{f(s)}}{\Omega - \Omega_s} < 1$ then $f \geq_T \Omega \equiv_T 0'$.

Proof.

Given f as stated, there exists $\epsilon > 0$ and s_0 such that for all $s \geq s_0$,

$$\frac{\Omega - \Omega_{f(s)}}{\Omega - \Omega_s} < 1 - \epsilon \implies \Omega - \Omega_s < (\Omega_{f(s)} - \Omega_s) / \epsilon.$$

We compute Ω from f as follows. For each n , find some σ and $s \geq s_0$ such that $|\sigma| > n$, $\sigma \smallfrown 00 < \Omega_s$ and $\Omega_{f(s)} - \Omega_s < \epsilon \cdot 2^{-|\sigma|-2}$. We claim $\Omega \upharpoonright n = \sigma \upharpoonright n$.

- ▶ Since Ω is random, it has infinitely many blocks of 00 . On the other hand, as $\Omega_s \rightarrow \Omega$, $(\Omega_{f(s)} - \Omega_s) \leq (\Omega_{f(s)} - \Omega_s) \rightarrow 0$. Thus, the desired σ and s exist.
- ▶ By the choice of s , we have $\Omega - \Omega_s < (\Omega_{f(s)} - \Omega_s) / \epsilon < 2^{-|\sigma|-2}$. Combined with $\sigma \smallfrown 00 < \Omega_s$, we have $\sigma < \Omega$.

Thus, $0' \equiv_T \Omega \leq_T f$.

□

Thank you for your attention!